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Practitioner's Docket No. 2004DE106

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Heino Heckmann et al.

Application No.: 10/591,575

Group No.:

Filed: September 1, 2006

For: Violet Colorant For Colour Filters, Inks For Ink-Jet Electrophotographic  
Toners And Developers And E-Inks

Commissioner for Patent  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

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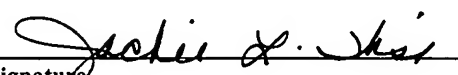
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Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
  - 1a. ☒ Form PTO/SB/08A.
  - 1b. ☒ Copies of Information Disclosure Statement citations.
  - 1c. ☐ PCT Search Report
  - 1d. ☐ Copies of Co-pending U.S. Applications.
2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

Applicant Heino Heckmann et al.:

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7. ☒ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached PTO/SB/08A Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached Form PTO/SB/08A.

Respectfully submitted,



Anthony A. Bisulca

Registration No. 40,913

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Substitute for Form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/591,575
Filing Date	September 1, 2006
First Named Inventor	Heino Heckmann
Art Unit	
Examiner Name	
Attorney Docket Number	2004DE106

Sheet	1	of	2
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**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	AA	6,284,432	9/4/2001	Furubayashi et al.	
	AB	4,451,398	5/29/1984	Patsch et al.	
	AC	5,420,187	5/30/1995	Endo et al.	
	AD	4,986,852	1/22/1991	Diete et al.	
	AE	5,318,627	6/7/1994	Diete et al.	
	AF	5,556,456	9/17/1996	Inoue et al.	
	AG				
	AH				
	AI				
	AJ				
	AK				
	AL				
	AM				

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	BA	EP 0321919	6/28/1989	EPO	See AD above	<input type="checkbox"/>
	BB	EP 0504923	9/23/1992	EPO	See AE above	<input type="checkbox"/>
	BC	WO 01/04215	1/18/2001	WIPO	English	<input type="checkbox"/>
	BD	EP 0659842	6/28/1995	EPO	See AF above	<input type="checkbox"/>
	BE	GB 2275477	8/31/1994	Great Britain	English	<input type="checkbox"/>
	BF	EP 0604895	7/6/1994	EPO	English	<input type="checkbox"/>
	BG	JP 9-137075	5/27/1997	Japan	English abstract	<input type="checkbox"/>
	BH	JP 1-247468	10/3/1989	Japan	English abstract	<input type="checkbox"/>

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, MA 22313-1450.

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Substitute for Form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/591,575
				Filing Date	September 1, 2006
				First Named Inventor	Heino Heckmann
				Art Unit	
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	2004DE106

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	PCT International Search Report for PCT/EP 2005/001802 mailed 7/25/2005	<input type="checkbox"/>
	CB	English Abstract for JP 09165528, 6/24/1997	<input type="checkbox"/>
	CC		<input type="checkbox"/>
	CD		<input type="checkbox"/>
	CE		<input type="checkbox"/>
	CF		<input type="checkbox"/>
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